



United States Department of Agriculture
The People's Department

“Do Not Pay” and Other Preventative Procedures to
Avoid Improper Payments on behalf of GSA
John Stevens



PREVENTING IMPROPER PAYMENTS

VENDOR SCREENING

- Vendor Tax Identification Number (TIN) and Name must Match at IRS
- Vendor TIN and/or Data Universal Numbering System (DUNS) # do not match in Do Not Pay's several databases
- Vendors being paid for Federal Acquisition Regulations (FAR) contracts are DUNS linked to System for Award Management (SAM)
- Use of Do Not Pay's Pre-Award, Pre-Payment, At-Time-Of-Payment and Post-Payment functionalities

TRANSACTIONAL SCREENING

- Ensure payments are not duplicates
- Ensure that payments are for ongoing services or newly received goods

PROCESS SCREENING

- Ensure that all required documents (for example, order, receipt and invoice) are available for review for any payment

VENDOR SCREENING

- At General Services Administration (GSA) Vendor Codes (VCs) are the Vendor's TIN, unless the TIN is a Social Security Number (SSN) or Vendor is foreign
- Large majority of GSA vendors are paid for FAR based contracts
- FAR Vendor Codes must be linked to SAM via DUNS numbers



DATA UNIVERSAL NUMBERING SYSTEM-DUNS

- 9 digit number obtained from and rights owned by Dunn and Bradstreet Corporation
- Registered at SAM by Vendor, all data being input by vendor
- Contains all relevant information needed to disburse to a vendor, such as multiple addresses, including Remittance, bank data for EFT disbursements, contact Names, email addresses and phone numbers
- Once input into SAM, multiple verifications are done by SAM, in conjunction with DNP before DUNS is activated and ready for data transfer to systems such as GSA's Pegasys
- Once data is transferred to Pegasys, vendor code is updated with vendor-input data that will not result in any Improper Payments
- If vendor subsequently becomes debarred, data will automatically be updated in SAM and in Pegasys, preventing any disbursements to that vendor
- If vendor changes anything (e.g. banking data) in SAM, it is automatically updated in Pegasys
- Vendor is required to renew in SAM every 12 months, regardless of whether any of their data has changed or not



NON-FAR VENDORS

- Vendor's TIN and Name are individually tested at IRS and must match there
- Vendor's TIN is tested at Do Not Pay's website and must result in no matches in any of their databases
- Vendor code is then activated and will not result in any Improper Payments initially
- Weakness of these un-linked (DUNS) vendor codes is that any changes in vendor data or exclusions, such as debarments, are not updated automatically to the vendor code and can result in Improper Payments
- Unlinked Vendor Codes are a small percentage of GSA's vendor codes
- No Improper Payments have resulted from unlinked vendor codes for several years



IMPROPER PAYMENTS PREVENTION

DO NOT PAY

Treasury Website

<https://fiscal.treasury.gov/DNP/>



DO NOT PAY



Do Not Pay

Do Not Pay (DNP) is the no-cost robust analytics tool which helps federal agencies detect and prevent improper payments made to vendors, grantees, loan recipients, and beneficiaries.

Agencies can check multiple data sources in order to make payment eligibility decisions.

DO NOT PAY

Components of DNP



Web-based Portal

The DNP Portal lets you search multiple databases at one time. You can search for a single person or entity. You can batch your searches. You can set up so that the Portal regularly monitors the databases for specific names.



Data Analytics

Provides advanced payment analysis services to federal agencies. In partnership with the federal agencies, a variety of data analysis and visualization can be conducted to help combat improper payments.



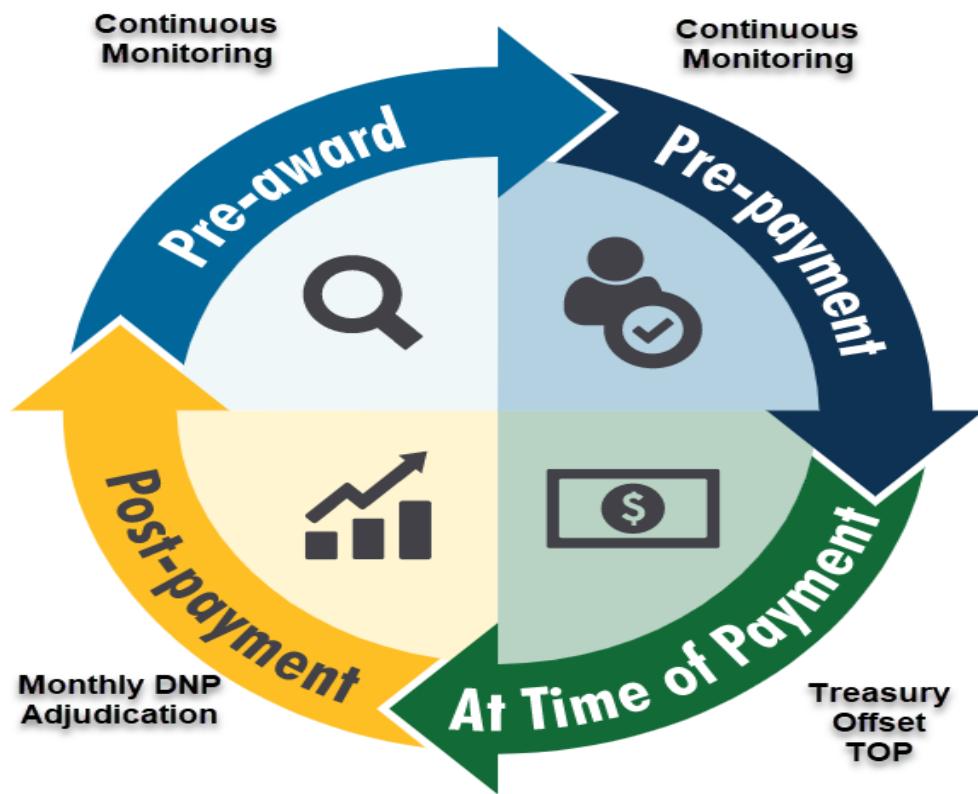
Agency Support

Works with agencies to onboard, determine, and target the best DNP processes and data sources to meet agencies' program needs.

DO NOT PAY

Four Times to Use DNP

Our mission is to protect the integrity of the federal government’s payment processes by assisting agencies in mitigating and eliminating improper payments in a cost-effective manner while safeguarding the privacy of individuals.



Pre-award

Before you start to pay, search DNP to make sure it’s okay to pay this person or company.

Pre-payment

Check again throughout the time this person or company is getting payments, or set up to monitor their eligibility. You can also research matches.

At Time of Payment

Any funds disbursed by the Department of the Treasury go through Payment Integration, in which the DNP Portal automatically matches all PAM files against available death data sources. [Read more about what DNP searches.](#)

Post-payment

Use Data Analytics to see trends, develop predictive models, and develop risk scores unique to your program.

Do Not Pay Overview

3 Services

Matching Identifiers
with Available
Databases

Access to the DNP
Analytical Center

Access to the DNP
Agency Support
Center

4 Functionalities

Online Single
Search

Batch Matching

Continuous
Monitoring

Post Payment
Review /
Adjudication

6 Databases

Death
Master
File

SAM Excl.
and
Registr.

CAIVRS

TOP Debt
Check

LEIE

OFAC

DNP – CONTINUOUS MONITORING

- Each month USDA Pegasys Systems team provides DNP with active Vendors table
- DNP compares Pegasys vendors table with their several databases and generates a report of Conclusive, Probable and Possible Matches
- Excluded (debarred) vendors are communicated via the Automated DNP to SAM to Pegasys system and vendor “Debarred” flag checked automatically in Pegasys. Any matches in DNP Continuous Monitoring Report are verified
- Death Master Matches enables Operations to request that vendor coders de-activate those vendor codes with note that code is not to be used again
- Probable/Possible Matches return numerous False-Positives



DNP – CONTINUOUS MONITORING CONCLUSIVE

AI	AJ	AK	AL	AM	AN	AO	AP	AQ	AR
Other Agency Data	Match Type	Match Source	Match Level	Match D	Matched	Matched	Matc	Matched Tax ID Type Code (alternate)	Matched Tax ID Number (alternate)
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	205557	Not in Peg Open Item Summ Query	Debarred flag in place
False Positive	TIN + NAME MA	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	954046	Not in Peg Open Item Summ Query	This DUNS debarred in SAM but does not exist in Pegasys
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	954046	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	300580	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	061791	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	870707	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	753257	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	753257	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	721357	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	461875	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	431884	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	203395	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	571191	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	753257	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	113747	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	341571	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	383621	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	383621	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	500002	Not in Peg Open Item Summ Query	Debarred flag in place
	DUNS MATCH	SAM-EXCL-RES	CONCLUSIVE	18:00.0	B	TIN	500002	Not in Peg Open Item Summ Query	Debarred flag in place



DNP – CONTINUOUS MONITORING

BELOW SCREENSHOT IS FROM PEGASYS VENDOR CODE HEADER:

	<input checked="" type="checkbox"/> Debarred
Start Date	<input type="text"/>
Expiration Date	<input type="text"/>
Reason	Vendor debarred in SAM. The following DUNS Numbers have been debarred: 825692155



DNP – CONTINUOUS MONITORING PROBABLE OR POSSIBLE

AI	AJ	AK	AL	AM	AN	AO	AQ	AR	AS
									DUNS
Other Agency	Match Type	Match S	Match Level	Match D	Matched	Matched	Matched Tax ID Type Code (alternate)	Matched Tax ID Number (alternate)	Matched DUNS Number
False Positive	TIN MATCH	DMF	PROBABLE	18:00.0 I		SSN	Yeager Airport in Peg	TIN is EIN in Peg & not subject to DMF	no DUNS here, but 156174617 Peg
False Positive	NAME MATCH	SAM-EXC	POSSIBLE	18:00.0 I		TIN	Name is a match for S00005875	TIN is SSN and DNP search = no match	No DUNS associated with VCAC
False Positive	NAME MATCH	SAM-EXC	POSSIBLE	18:00.0 I		TIN	Name is a match for S00005875	TIN is SSN and DNP search = no match	No DUNS associated with VCAC
False Positive	TIN MATCH	DMF	PROBABLE	18:00.0 I		SSN	Not SSN. 3 DUNS associated in Peg & al	TIN is EIN in Peg & not subject to DMF	3 DUNS assoc. with VC, all are this
False Positive	TIN MATCH	DMF	PROBABLE	18:00.0 I		SSN	Battery Clinic, Inc not subject to DMF	TIN is EIN in Peg & not subject to DMF	DUNS 803638493, same TIN, corp
False Positive	TIN MATCH	DMF	PROBABLE	18:00.0 I		SSN	Not SSN. 3 DUNS associated in Peg & al	TIN is EIN in Peg & not subject to DMF	3 DUNS assoc. with VC, all are this
False Positive	TIN MATCH	SAM-EXC	PROBABLE	18:00.0 B		TIN	VC/TIN in Peg only has DUNS 173574161	TIN DUNS 125692157 not in Peg	125692157-not in Peg
False Positive	TIN MATCH	SAM-EXC	PROBABLE	18:00.0 B		TIN	VC/TIN in Peg only has DUNS 173574161	TIN DUNS 968852749 not in Peg	968852749-not in Peg
False Positive	NAME MATCH	SAM-EXC	POSSIBLE	18:00.0 B		TIN	Name initials cause Match-false positive	TIN DUNS 038790275 not excluded	048965637-not in Peg
False Positive	NAME MATCH	SAM-EXC	POSSIBLE	18:00.0 B		TIN	Looks like match but TIN not in Peg	TIN 954213477 not in Peg	048965637-not in Peg
False Positive	NAME MATCH	SAM-EXC	POSSIBLE	18:00.0 B		TIN	Looks like match but address is in CA anc	TIN DUNS 038790275 not excluded	048965637-not in Peg
False Positive	NAME MATCH	SAM-EXC	POSSIBLE	18:00.0 B		TIN			079578505-excluded in SAM, but i



DO NOT PAY MONTHLY ADJUDICATION-20th OF MONTH

PAYMENTS MATCHED:

Advanced Filtering Criteria Applied: Adjudication Criteria: NOT ADJUDICATED (PENDING), NOT ADJUDICATED

2 Results

SHOW:

Payment Adjudication Status	PAM Payment Status	Total # of Matches	Data Sources	Payment Type	Payee Name	SSN/EIN/TIN	Payment Amount	Payment Date	Schedule #	Payment ID	Payment ID Code	Agency Location Code
NOT ADJUDICATED	ISSUED	1 Matches	DMF	MISCELLANEO...	TAYLOR, ZACHARY	/SSN	20,857.15	05/01/2018	00000GS18Y0270	26		47000017
NOT ADJUDICATED	ISSUED	1 Matches	DMF	MISCELLANEO...	TAYLOR, ZACHARY	/SSN	3,284.07	05/01/2018	00000GS18Y0270	27		47000017

Showing 1 to 2 of 2

Download Results

Go to Adjudicate Multiple Page





DO NOT PAY MONTHLY ADJUDICATION-20th OF MONTH

Payment Information

Payment Amount 20857.15

Payment Date 5/1/2018

PAM Payment Status ISSUED

Payment Adjudication Status NOT ADJUDICATED

SSN/EIN/TIN [redacted] / SSN

Payee Name TAYLOR, ZACHARY

Payment Type MISCELLANEOUS

Schedule # 0000GS18Y0270

Payment ID 26

Payment ID Code

Agency Location Code 47000017

Agency Group Code

Agency Account ID 245621681

SSA DEATH MASTER FILE 1 Results

SHOW: 10 Results

<input type="checkbox"/>	Match Adjudication Status	Whitelist Reason	Date of Death	Verify or Proof	DOD to Payment Date Difference	TIN	Payee Name	Match Level	Match Type	Business Rules Applied	Stop Payment Rule Applied
<input checked="" type="checkbox"/>	NOT ADJUDICATED	NONE	03/30/2018	P	32	[redacted] / SSN	TAYLOR, ZACHARY	CONCLUSIVE	TIN + NAME MATCH	0	



INDEPENDENT IP REDUCTION INITIATIVE PAYMENTS APPROVED FOR DISBURSMENT COMPARED TO VENDOR TABLE VIA BUSINESS OBJECTS QUERY

A	B	C	D	E	F	G	H	I	U	V	W	X
concVCAC	VA Duns Num	Duns Plus 4	Linked to CCRc/SAM	SAM Registrati on Indicator	SAM Registrati on Status	SAM Registrati on Date	SAM Expiration Date	VA Hold Pymt FI	Payee Name	Doc Type	Doc Number	Total Amount
20539545200001	0	0	F	R	0	0	1/0/1900	F	IVL GROUP	LP	LP2019080600000	\$15,520.16
20539545200001	0	0	F	R	0	0	1/0/1900	F	IVL GROUP	LP	LP2019080600001	\$29,531.42
20539545200001	0	0	F	R	0	0	1/0/1900	F	IVL GROUP	LP	LP2019080600002	\$56,558.86
20539545200001	0	0	F	R	0	0	1/0/1900	F	IVL GROUP	LP	LP2019080600003	\$17,105.14
52163435500009	0	0	F	R	0	0	1/0/1900	F	PARCEL 49	LP	LP2019081500000	\$413,714.57
Above are not linked with DUNS in SAM and should be.												

IMPROPER PAYMENT PREVENTION- VENDOR SCREENING SUMMARY

- Vendor Code DUNS linkage from DNP to SAM to Pegasys
- Individual verification at IRS and DNP if VCs not DUNS linked
- Do Not Pay Functionalities Used Pre-Award, Pre-Payment, At-Time-Of-Payment and Post-Payment
- Daily Comparison of Payments Approved for Disbursement with Vendor table via Business Objects

ACRONYMS USED

- CAIVRS = Credit Alert Interactive Verification Reporting System
- CFO = Chief Financial Officer
- DNP = Do Not Pay
- DUNS = Dunn & Bradstreet Universal Numbering System
- FAR = Federal Acquisition Regulations
- LEIE = List of Excluded Individuals/Entities
- OFAC = Office of Foreign Asset Control
- SAM = System for Awards Management
- TOP = Treasury Offset Program



Questions and Answers





Thank You!!!